



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#11/B  
8/18/03

In application of:

Wan-Thai Hsu, et al.

Serial No.: 09/938,412

Group Art Unit: 2856

Filed: August 23, 2001

Examiner: Helen C. Kwok

For: MICROMECHANICAL RESONATOR DEVICE

Attorney Docket No.: UOM 0211 PUSP

PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.115

Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

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TECHNOLOGY CENTER 2800

Sir:

Please amend the above-identified application as follows:

08/12/2003 JADD01 00000121 09938412  
02 FC:2202  
18.00 DP

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8	
I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
August 7, 2003 Date of Deposit	David R. Syrowik Name of Person Signing
	 Signature

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02 FC:2202  
18.00 DP



- b. ☒ Enclosed
- i. ☐ Amendment/Reply
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☐ Information Disclosure Statement (IDS)
  - iv. ☒ Other Preliminary Amendment & Amendment Transmittal

2. Miscellaneous


- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of  months
- b. ☐ Other

3. Fees

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-3978
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
  - ii. ☐ Extension of time fee under 37 C.F.R. §§ 1.136 and 1.17
  - iii. ☐ Other
- b. ☒ Check in the amount of \$393.00 enclosed (RCE filing fee of \$375.00 required under 37 C.F.R. § 1.136 and 1.17 & \$18.00 to cover additional claims. (The Director is hereby authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 02-3978)

Respectfully submitted,

Wan-Thai Hsu, et al.

By:   
David R. Syrowik  
Registration No. 27,956  
Attorney/Agent for Applicant

Date: August 7, 2003  
**BROOKS KUSHMAN P.C.**  
1000 Town Center, 22nd Floor  
Southfield, MI 48075-1238  
Phone: 248-358-4400  
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Case Docket No. UOM 0211 PUSP

**AMENDMENT TRANSMITTAL**

In re application of: Wan-Thai Hsu, et al.	Serial No.: 09/938,412
	Filed: August 23, 2001
Title: MICROMECHANICAL RESONATOR DEVICE	

To: Mail Stop RCE  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant has previously claimed small entity status.

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	Small Entity		Other Than Small Entity	
	Claims Remaining After		Highest No. Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total	* 26	minus	** 24	= 2	x 9 =	\$18.00	x 18 =	\$
Indep.	* 3	minus	*** 3	= 0	x 42 =	\$00.00	x 84 =	\$
First Presentation Of Multiple Dependent Claim					x 140 =	\$00.00	x 280 =	\$
					Total Addit. Fee	\$ 18.00	Or Total	\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If "Highest No. Previously Paid For" is less than 20, write "20" in this space.  
\*\*\* If "Highest No. Previously Paid For" is less than 3, write "3" in this space.

\_\_\_ A Petition fee under 37 C.F.R. § 1.17 has been calculated in the amount of \$ \_\_\_ to cover a \_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

Payment of fee(s) calculated above:

\_\_\_ No additional fee is required.

☒ A check in the amount of \$ 393.00 to cover the additional claim fee & RCE fee is enclosed.

\_\_\_ Please charge my Deposit Account No. 02-3978 in the amount of \$ \_\_\_. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-3978. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 C.F.R. § 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

**CERTIFICATE OF MAIL UNDER 37 C.F.R. § 1.8**

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August 7, 2003      David R. Syrowik  
Date of Deposit      Name of Person Signing  
Signature \_\_\_\_\_

Respectfully submitted,

Wan-Thai Hsu, et al.

  
David R. Syrowik

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